

Appendix D: Roles and Responsibilities

| Responsibility | Accountability | Political | | | Corporate | | Managerial |
|---|----------------|-----------|-----------------|----------|----------------|--------------------|------------|
| | Exec F&R | Exec | Audit Committee | CEX/ SMT | HARM/ R&R Team | Directors and DLTs | |
| Oversees effective and efficient management of risks | Y | Y | | | | | |
| Receives reports and reviews the significant risks and opportunities to the Council and to take decisions with due regard to the risks involved. | Y | Y | | Y | | | |
| Considers the effectiveness, monitors and promotes the development and operation of risk management in the Council. | | | Y | Y | | | |
| Considers and reviews the Corporate Risk Register (CRR) and the outcomes of the annual risk management report. | | | Y | Y | | | |
| Ensures the Council manages risk efficiently through the development and implementation of the Risk Management Strategy. | | | | Y | | | |
| Manages of risks and opportunities, associated with the strategic direction, resources and compliance of the Council. | | Y | | Y | | Y | |
| Provides strategic and operational leadership and support for risk management. | | | | | Y | | |
| Co-ordinates the review and reporting of corporate risks through the CRR to SMT/ Audit Committee with support from DLT Leads | | | | | Y | | |
| Supports Directors and Heads of Service in the creation and ongoing maintenance of Directorate/Service level risk registers. | | | | | Y | | |
| Maintains an effective knowledge of risk best practice and developments in the Council through a programme of continued professional development. | | | | | Y | | |
| Develops a programme of risk management training and awareness to ensure an effective risk management culture is embedded. | | | | | Y | | |

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| Ensures risk considerations are embedded into planning and decision making and takes steps to avoid or reduce the impact of potential losses. | | | | Y | | Y |
| Maximises risk management opportunities that can impact positively on the Council's reputation, priorities and outcomes. | | | | Y | | Y |
| Ensures strategic partners and service providers are aware of the importance of risk management and communicate effectively. | | | | Y | | Y |
| Actively communicates and demonstrates accountability for strategic risks and opportunities managed within their Directorates/ Services. | | | | | | Y |